Agustí ALEMANY (Universitat Autònoma de Barcelona, Spain)

FERDOWSI'S «KING OF THE ALANS»*

When Bahrām Čōbīn [BČ] and Kosrow II Parvēz [KP] (AD 590-628) address one another before battle in Ferdowsī's *Šāhnāma*, Kosrow is called by his opponent (and also calls himself) "king of the Alans" (الإن شاه Alān šāh) four times:¹

الان شاه چون شهریاری کند ورا مرد بد بخت یاری کند

[43, 215] BČ "When the king of the Alans rules / evil men support him"

مرا چون الان شاہ خوانی ہمی زگو ہر بہ یک سو نشانی ہمی

[43, 231] KP "When you call me king of the Alans / you just mention a part of my lineage"

الان شاه بودی کنون کهتری هم از بندهٔ بندگان کمتری

[43, 237] BČ "You were king of the Alans, now you are less / even lower than a slave of slaves"

الان شاه مار ا پدر کرده بود که بر ما زدام تو آزرده بود

[43, 314] \underline{KP} "My father had made me king of the Alans / since he was afflicted for me because of your tricks"

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In the last verse Kosrow is said to have been appointed $Al\bar{a}n \ \bar{s}\bar{a}h$ by his father Hormozd IV (AD 579 -90). From this assertion it can be inferred that we are not dealing with a true king of the Alans, but with a Persian title.

According to Ferdowsī [43, 3229-51],² Kosrow Parvēz chose 48,000 men from Iran –all of them experienced, brave and warlike horsemen– and divided the world into four parts (ببخشيد بر چاربهر *žahān-rā bebahšīd bar čār bahr*), sending four detachments of 12,000 men alternately to: [1] the Byzantine border (مرز روم *marz-e Rūm*), [2] Zābolestān, [3] the road of the Alans (زاد الانان *rāh-e Alānān*) and [4] the Hephthalite border (مرز هيتال *marz-e Heitāl*) in Korāsān.

This fourfold division is also recorded in a different way by the so-called "Letter of Tansar".³

و هیچ آفریده را که نه از اهل بیت ما باشد شاه نمی باید خواند ، جز آن جامعت را که اصحاب ثغورند ، الان [و]ناحیت مغرب وخوارزم وکابل

"No other man who is not of the people of our household should be named King, except for the Lords of the Marches of Allān, the region of the West, <u>K</u> v ārazm and Kābol"

From this passage we know that the "Lord of the March of Allān" (ماحب نغر الإن * sāheb-e saġr-e Allān) was named šāh, which matches Ferdowsī's Alān šāh (the form الان is arabicised in the mss., maybe following the more usual اللان). According to Christensen, "par le «commandant des marches du pays des Alains», il faut entendre sans doute un des quatre grands marzbāns (margraves): il avait le privilège de s'asseoir sur un trône d'or, et sa dignité se transmettait, par exception, à ses successeurs qui s'appelaient «les rois du trône»".4 Christensen's remarks are based on the anonymous Nihāyat al-Irab (written before AD 1050), where it is said that, after an -otherwise anachronistic-Khazar invasion of Armenia and Azerbaijan, Kosrow I Anūšīrvān built a stone wall in Armenia and appointed one *marzbān* with 12,000 cavalry to guard it; his base was Bāb al-Abwāb and he was accorded the privilege of sitting on a golden throne.⁵ No need to say that the figure of 12,000 cavalry matches with the horsemen sent by Kosrow Parvez to the "road of the Alans" and to the other "parts of the world" in Ferdowsi's *Šāhnāma*. Finally, a passage in Hamza Isfahānī states that Anūšīrvān presented to the governors of the marches beautiful robes of honor decorated with the effigies of different animals after which they got their names: a wild boar (*bagrā*) for the *Bagrānšāh, a lion (\tilde{sir}) for the Širwānšāh, an elephant (*fil*) for the Fīlānšāh and a crow (*ālāna*) for the Alānšāh.⁶ In spite of his wonderful etymologies, Hamza's passage is of interest inasmuch as it also links the lords of the marches to Anūšīrvān and, of course, because it is the third source to attest a title Alānšāh.

Other works recall the quadripartite division of Late Sasanian Iran without mentioning the Alans: for example, the Armenian geography *Ašxarhac* 'oyc' (6th-7th century),⁷ the *Šahrestānīhā ī Ērānšahr* (8th century),⁸ Țabarī (840-923)⁹ and $\underline{T}a$ 'ālibī (961-1038):¹⁰

| SOURCE | WEST | South | North | East |
|------------------|------------------|------------------|---------------------------|-----------------------------|
| Ferdowsī | marz-e Rūm | Zabolestān | rāh-e Alānān | <u>K</u> orāsān |
| Nāme-ye Tansar | nāḥiyat-e maġreb | Kābol | Allān | <u>K</u> ^v ārazm |
| Ašxarhac'oyc' | kʻusti *xorbaran | k'usti nmr̂oj | kʻusti Kapkoh | kʻusti xorasan |
| Šahrestānīhā ī | kust ī | kust ī | kust ī | kust ī |
| Ērānšahr | xwarwarān | nēmrōz | Ādurbādagān | xwarāsān |
| Ţabarī | maġrib | nīmrūz | Ā <u>d</u> arbāyğān | mašriq |
| | | (bilād al-Yaman) | (bilād al- <u>K</u> azar) | (<u>K</u> orāsān) |
| <u>T</u> aʿālibī | al-'Irāq | Fārs, Kirman, | kuwar al-ğabal | <u> K</u> orāsān |
| | (aṭrāf al-Rūm) | al-Ahwāz | (Ādarbāyğān) | (+close regions) |

The original forms are those attested in the *Ašxarhac'oyc'*, in the *Šahrestānīhā* \bar{i} $\bar{E}ranšahr$ and in Țabarī, following the cardinal points: West (Pahl. *xwarwarān*, Arm. **xorbaran*, Arab. *maġrib*), South (*nēmrōz*, *nmroj*, *nīmrūz*) and East (*xwarāsān*, *xorasan*, *mašriq*). In the first two works they are combined with Pahl. *kust* (\bar{i}), Arm. *k'usti* "side, region (of)", just as they appear in a seal fragment and several clay sealings of the generals of the four cardinal points found in the last two decades, which have proved the historicity of the quadripartite division of Late Sasanian Iran.¹¹

The North cardinal point ($ab\bar{a}xtar$) is lacking, since it was considered as the region of demons, and it was replaced by the name of the northern province of $\bar{A}durb\bar{a}dag\bar{a}n$ (Arab. $\bar{A}\underline{d}arb\bar{a}y\check{g}\bar{a}n$), by the "regions of the mountains" (Arab. *kuwar al-ǧabal*), that is to say the Caucasus (Arm. Kapkoh < Pahl. Kaf kōf) or by northern peoples like Alans and Khazars. Anyway, the constellation Seven bears or Ursa Major (*Haftōring < Av. Haptōiringa-*) is recorded as "general of the North" (*abāxtar spāhbed*) in a mythical passage of the *Bundahišn* dealing with the chief stars of the cardinal point sectors.¹²

Gyselen has published the seal impressions of Görgön and Sēd-ōš, both of them of the Mihrān family, showing a horseman in armour and bearing the titles "well-omened (is) Husraw, grandee, spāhbed of the Aryans, side of the North" (*hujadag-husraw wuzurg ērān kust ī ādurbādagān spāhbed*), as well as a third bulla from the side of the North with an unclear name (Husraw?) and the title *hujadag-Ohrmazd* "well-omened (is) Ohrmazd".¹³

Even the short monography about Sasanian Persia contained in both the *Weishu* 魏書 and the *Beishi* 北史 mentions a *xuebobo* 薛波勃 in charge of the troops and cavalry of the "four directions" (*si fang* 四方), probably the $\bar{E}r\bar{a}n$ -

spāhbed preceding the appointment of the four generals. ¹⁴ Anyway, as we have seen, sources favour dating this division under Kosrow I Anūšīrvān and not under Kosrow II Parvēz, since the two Kosrows are often confused –a view supported by modern scholarship.¹⁵

Coming back to the starting point, is there any additional evidence showing that Kosrow Parvez was put in charge of the "Side of the North"- at any time? The answer is affirmative: the Georgian chronicles state that, after the death of Bakur III, the last Chosroid king of K'art'li (ca. AD 580), "the king of the Persians Urmizd gave Ran and Movakan to his son, who was called K'asre Ambarvez. He came and resided at Bardav".¹⁶ Bardav (MPers. *Pērōzāpāt*, Arm. Partaw, Arab. Barda'a) was the chief town of Caucasian Albania (MPers. <'ld'n> Arrān, Georg. Ran) and a frontier strong point after Kavād I (AD 488-531) fortified it against the Huns.¹⁷ Ferdowsī,¹⁸ Tabarī¹⁹ and Ta'ālibī²⁰ agree in telling that Kosrow fled to Azerbaijan before his clash with Bahrām for fear of his father, while Theophylact Simocatta²¹ says that he departed there after Hormozd had been deposed, but in fact they all confirm a relation of Kosrow with the kust *ī* Ādurbādagān before his accession to the throne. When Kosrow marches against Bahrām, Ferdowsī [43, 11] informs us that he sets off with an army from Barda'a and Ardabīl (سياهي از بردع واردبيل sepāhī az Barda'a ō Ar $dab\bar{l}$),²² as well as Armenian troops, a fact which recalls our Georgian source when mentioning Bardav as K'asre's residence. Furthermore, Ardabīl was the capital of Ādarbāygān and the dwelling place of a marzubān at the time of the Muslim conquest according to al-Balādurī.²³

Another $Al\bar{a}n \ \bar{s}ah$ is mentioned previously by Ferdowsī [34, 393-4] after the death of Yazdegerd the Unjust (AD 420). Since there was no king occupying the throne, the latter was claimed by some grandees ($here meh\bar{a}n$):²⁴

الان شاه وچون پهلوان سپاه چو بیورد وشکنان زرّین کلاه همی هر یکی گفت شاهی مراست هم از خاک تا برج ماهی مراست

"The king of the Alans, the Pahlavān of the army, / Bīvard and Šaknān of the golden helmet, / each one of them said: «sovereignty is now mine, / mine from the earth to the moon's sphere»"

There is one thing calling my attention: the fact that there are four pretenders to the throne. If one of them is an $Al\bar{a}n \ \bar{s}\bar{a}h$, the other three could be the three remaining *marzbānān* of the Late Sasanian period, here anachronically placed in earlier times. The title *pahlavān-e sepāh* "hero of the army" is so often used by Ferdowsī that it adds little to our knowledge.²⁵ However, it reminds me of the

military title *aspbed ī pahlav* "Parthian chief of the cavalry", present on the seal of three *spāhbedān* of the Eastern side.²⁶ Bīvard and Šaknān are otherwise unknown in the *Šāhnāma* (Wolff²⁷ labels the former as an "edler Iranier", the latter as an "iranischer Held"; Mohl²⁸ gives the rubric "noble iranien" for both of them). In the poem the name Bīvard is also carried by a Turanian vassal of Afrāsyāb, a native of Kāt (13b, 850 كاتى $k \bar{a}t\bar{t}$), while Šaknān remains a *hapax*. Justi²⁹ knows nothing more about them both and their names do not seem to be documented in epigraphical Middle Persian³⁰.

What duties did the "general of the North" have?³¹ Geographically, they were no doubt related to the Caucasian passes of Darial³² and Darband,³³ which had an important strategic role since the earliest times, the Sasanian period being no exception to this rule. According to the sources, the Gates could be opened at will (Gr. $avoi\gamma\omega$, Arm. *banal*, Georg. *gageba*) in order to facilitate the entrance of Northern allied troops in case of need:³⁴

— the "Gate of the Alans" often appears in Sasanian inscriptions of the 3rd century as the northern border of the Sasanian empire: in this way ŠKZ § 2 states that Šābuhr I (AD 240-70) ruled "as far as Mt. Caucasus and the Gate of the Alans";³⁵ cf. also KKZ 12 "as far as the Gate of the Alans" (probably the same text, though much damaged, is to be found in KNRm 39 and KSM 19).³⁶

— during the wars of Xosrov II, king of Armenia († AD 252), against Sasanian Iran, this king "opened the Gates of the Alans and the stronghold of Čor; he brought through the army of the Huns in order to attack Persian territory".³⁷

— under Pērōz (AD 457-84) the Persians "opened the Gates of the Alans, brought out a large contingent of Huns and fought for a year with the king of the Albanians".³⁸

— according to Procopius (writing ca. AD 551-3) the spurs of the Caucasus extend "as far as those very passes which provide entrance for the Hunnic nations inhabiting that region into both Persian and Roman territory. One of these passes is called Tzur, while the other has been named the Caspian Gates from ancient times".³⁹

— among the provisions set out in the treaty between Justinian and Anūšīrvān in AD 561-2, the following agreement was reached: "through the pass at the place called Tzon and through the Caspian Gates the Persians shall not allow the Huns or Alans or other barbarians access to the Roman Empire, nor shall the Romans either in that area or on any other part of the Persian frontier send an army against the Persians".⁴⁰

Two other pieces of evidence could throw some light on the nature of the $Al\bar{a}n\,\,\bar{s}\bar{a}h$:

(1) some Greek papyri from Oxyrhynchus dating back to the Sasanian occupation of Egypt (AD 619-628) under Kosrow Parvēz mention a high officer named $\Sigma \alpha \rho \alpha \lambda \alpha v \varepsilon o \zeta \alpha v$, ⁴¹ which has its counterpart in the title *štr'l'nywc'n* or *štr'l'nywc'n*/Šahr-Ālānyōzān/known from several of the Berlin Middle Persian papyri.⁴² The Armenian sources also mention a Sasanian general named *Alanaozan* related to the Armenian Aršakuni dynasty in the service of Šābuhr II (AD 309-79).⁴³ Weber understood *Ālānyōzān* as "auf die Alanen begierig" sc. "die Alanen bekämpfend" and concluded that he was a representative of an Armenian branch of the Sasanian nobility.⁴⁴ As for myself, it is interesting that in one fragmentary papyrus Šahr-Ālānyōzān appears close to a cavalry *kanārang* (Gr. $\chi \alpha v \alpha \rho \dot{\alpha} \gamma \eta \varsigma$, Bactr. $\kappa \alpha \rho \alpha \lambda \rho \alpha \gamma \gamma o < *kanār-drang-$ "who secures the borders"), maybe the same person, which reinforces his identification as a (former) margrave close to the *marzbānān* or *spāhbedān* of the Late Sasanian period and, more concretely, the one in charge of the side of the North, in any case temporarily transferred to Egypt.⁴⁵

(2) Judith Lerner⁴⁶ recently published "a banded agate seal of a characteristic Sasanian shape, executed in the Sasanian style, and bearing a Middle Persian inscription", read by Prods Oktor Skjaervø as "Asay, Prince of Alan", maybe a name related to the Aoaĩoi, a Sarmatian people.⁴⁷ The seal probably dates to the 4th-5th century and depicts "a recumbent stag, his legs drawn up beneath his body", maybe "an ancestral emblem" linked to the owner's "Scythian" or steppe nomad heritage (see our Fig. 1). I am grateful to my friend Juan José Ferrer, who helped me to read the inscription as <'s'dy ZY 'ld'n BRPYTAy> $As\bar{a}(y) \bar{i} Al\bar{a}n$ *wispuhr*. The only problem is that, even if the stag suggests an Alan origin, in epigraphic Middle Persian <'ld'n> does not stand for $Al\bar{a}n$ (usually <'l'n'n> $Al\bar{a}n\bar{a}n$ "Alans" as in KKZ 12 & KNRm 39), but for $Arr\bar{a}n$ "Albanians".⁴⁸ Anyway, if the owner was a real Alan prince, a possible relationship to our $Al\bar{a}n$ *šāh* should be taken into account.

All in all, available evidence leads to the following conclusions:

— Ferdowsī tells us that Kosrow Parvēz was appointed $Al\bar{a}n \ \bar{s}ah$ by his father Hormozd IV; this title is also known by Hamza Işfahānī and indirectly by the "Letter of Tansar", which states that the Lord of the March of Allān should be named $\bar{s}ah$.

— following the quadripartite division of Late Sasanian Iran under Kosrow Anūšīrvān, in both Ferdowsī's $\check{Sahnama}$ and the "Letter of Tansar" the Alans are



Fig. 1. Seal of Asāy, prince of Alān (photo: Christie's, see n. 46)

identified with the "side of the North", normally associated with the province of Ādurbādagān or the Caucasus mountains in other extant sources.

— the Caucasian passes of Darial ("the Gate of the Alans") and Darband played a key strategic role in the defence of the Northern provinces of the Sasanian empire, probably the most important task performed by the "General of the Side of the North" (*kust* \bar{i} \bar{a} *durbādagān spāhbed*).

— we have enough data to suggest that the $Al\bar{a}n\,\bar{s}\bar{a}h$, if not directly identical to the *spāhbed* or *marzbān* of the Side of the North, was at least a subordinate military commander under his authority. Nevertheless, it is uncertain whether the title $Al\bar{a}n\,\bar{s}\bar{a}h$ was actually in use as such during the Sasanian period, since it is only reported by later sources.

— several Byzantine and Eastern sources associate Kosrow Parvēz with the province of Azerbaijan and/or the cities of Barda'a and Ardabīl during his youth, which backs the hypothesis that the Side of the North or at least the Caucasian marches were placed under his rule, which would explain his appointment as $Al\bar{a}n \, s\bar{a}h$ as recorded by Ferdowsī.

— the title Σαραλανεοζαν / Šahr-Ālānyōzān known through several Greek and Middle Persian papyri dating back to the Sasanian conquest of Egypt by Kosrow Parvēz and the agate seal owned by a certain Asāy, prince of Alān, could be two pieces of this jigsaw puzzle, inasmuch as they are first-hand documents of the Sasanian period related to the Alans, but caution is required because of their uniqueness and difficult contextualization. ¹ Ed. Jules Mohl, vol. VII, p. 22-25, 30-31; D. Davis, *Shahnameh. The Persian Book of Kings* (NY: Viking, 2006), 778-9, 781.

² Ed. Mohl, vol. VII, p. 272-75 (lacking in Davis' translation).

³ *Nāme-ye Tansar* ed. Moğtaba Mīnovī (Tehrān: Ḫ^vārazmī, 1975²), 54; translated by Mary Boyce, *The Letter of Tansar* (Roma: IsMEO, 1968), 35.

⁴ Arthur Christensen, *L'Iran sous les Sassanides* (Copenhague: Ejnar Munksgaard, 1944²), 65.

⁵ Edward G. Browne, "Some Account of the Arabic Work entitled *Niháyatu'l-irab fi akhbári'l-Furs wa'l-Arab*, particularly of that part which treats of the Persian Kings". *JRAS* 32/2 (1900): 195-259 (see p. 227).

⁶ Hamza Işfahānī *Tā 'rīkh sinī mulūk al-ard wa'l-anbīyā* ed. Beirut 1969, p. 51-53 (*non vidi*); cf. Parvaneh Pourshariati, "Hamza al-Işfahānī and Sāsānid Historical Geography", *Res Orientales* XVII (Leuven: Peeters, 2007), 111-140 (see p. 131); the interpretation of the titles is based on I.M.E. Gottwaldt, *Hamzae Ispahanensis Annalium Libri X* (Petropoli-Lipsiae: Leopold Voss, 1844), vol. II, p. 43 (*Rex Apri, Leonis, Elephanti, Corvi*).

⁷ Ašxarhac'oyc' L29, ed. Arsène Soukry (Venice: Imprimerie Arménienne, 1881), 40; Robert H. Hewsen, *The Geography of Ananias of Širak (Ašxarhac'oyc')*. *The Long and Short Recensions* (Wiesbaden: Ludwig Reichert, 1992), 72.

⁸ Touraj Daryaee, Šahrestānīhā ī Ērānšahr: A Middle Persian Text on Late Antique Geography, Epic, and History (Costa Mesa, California: Mazda Publishers, 2002), p. 8, 13-16, 29.

⁹ Țabarī, ed. Michael Jan de Goeje I 894; Clifford E. Bosworth, *The History of al-Țabarī*. Vol. V: *The Sāsānids, the Byzantines, the Lakhmids, and Yemen* (NY: State University of New York, 1999), 149 n. 385.

¹⁰ Hermann Zotenberg, *Histoire des rois des perses par ... al-Tha'âlibî* (Paris: Imprimerie Nationale, 1900), 609.

¹¹ Rika Gyselen, *The Four Generals of the Sasanian Empire: Some Sigillographic Evidence* (Roma: IsIAO, 2001), 11-14.

¹² Ir. & Ind. Bd II 7 (ed. Raqī Behzādī, p. 6 in TITUS-Server) Tištar xwarāsan spāhbed, Sadwēs xwarwarān spāhbed, Wanand nēm-rōz spāhbed, Haftöring abāxtar spāhbed; cf. Ferdinand Justi, Der Bundehesh (Leipzig: F.C.W. Vogel, 1868), 4 "Haptoiringa des Nordens Heerführer"; Antonio Panaino EIr XI (2003) 533-4 s.v. Haftörang.

¹³ Rika Gyselen, *Sasanian Seals and Sealings in the A. Saeedi Collection*, AcIr 44 (Leuven: Peeters, 2007), 272-77; cf. his *The Four Generals*, 44-5.

¹⁴ Weishu CII 2270-72; Beishi XCVII 3222-23; see François Thierry, "À propos des monographies du *Weishu* (554) et du *Beishi* (659) sur le royaume de Perse", *Res Orientales* XVII (Leuven: Peeters, 2007), 141-156 (see p. 145, 149).

¹⁵ E.g. Christensen, *L'Iran sous les Sassanides*, 370; Richard N. Frye, "The Political History of Iran under the Sasanians", *CHI* 3.1 (Cambridge: University Press, 1983), 154;

Parvaneh Pourshariati, *Decline and Fall of the Sasanian Empire* (London-NY: I.B. Tauris, 2008), 94-101; Daryaee, *Šahrestānīhā ī Ērānšahr*, p. 7-11.

¹⁶ K'art'lis C'xovreba ed. S. Qauxc'išvili, p. 217 mašin mep'eman Sparst'aman Urmizd misc'a dzesa t'wssa Rani da Movakani, romelsa erk'ua K'asre Ambarvezi. movida da dadžda Bardavs; see Robert W. Thomson, Rewriting Caucasian History (Oxford: Clarendon Press, 1996), 228.

¹⁷ Clifford E. Bosworth Elr III (1989) 779-80 s.v. Barda'a.

¹⁸ Shahnama 42, 1781 ed. Mohl, vol. VI, p. 692-3 همى تلخت تا آنر آبادگان hamī tāḥt tā Ādar Ābādgān "he hastened to Ā."; Davis, Shahnameh, 768.

¹⁹ Tabarī, ed. de Goeje I 993-95; Bosworth, *The History of al-Tabarī*, 303-4, 306 in several passages: "he fled to / set out from / left secretly for Azerbaijan".

²⁰ Ta'ālibī in Zotenberg, *Histoire des rois des perses*, 660 وهرب الى أذربيجان wa-haraba ila $\bar{A}\underline{d}arb\bar{a}y\bar{g}\bar{a}n$ "he fled to A.".

²¹ Theophyl. Sim. IV.3.13 ἐπὶ τὸ Ἀδραβιγάνον τὴν ἀπόδρασιν ἐπεποίητο "he made his escape to A."; Michael Whitby–Mary Whitby, *The* History *of Theophylact Simocatta* (Oxford: Clarendon Press, 1986), 107.

²² Ed. Mohl, vol. VII, p. 6-7; Davis, Shahnameh, 774.

²³ Al-Balādurī *Futūḥ al-Buldān* ed. Michael Jan de Goeje, 325; Francis Clark Murgotten, *The Origins of the Islamic State*, vol. II (NY: Columbia University, 1924), 19 [537].

²⁴ Ed. Mohl, vol. V, p. 524-5; Davis, Shahnameh, 612.

²⁵ Fritz Wolff, Glossar zu Firdosis Schahname (Berlin: DMG, 1935), 493 s.v. sipāh 9. Bahrām Čöbīn is often recorded by Ferdowsī as pahlavān-e sepāh under Hormozd IV; Theophyl. Sim. III.18.11-12 labels him as "general of the Persian company" (στρατηγός τοῦ Περσικοῦ ἀθροίσματος) and "darīgbed of the royal hearth" (δαριγβεδοὺμ τῆς βασιλικῆς ἑστίας), a title close to that of κουροπαλάτης; Whitby–Whitby, The History of Theophylact Simocatta, 101-2.

²⁶ Gyselen, Sasanian Seals and Sealings, 52, 56-58, 252-54 sub III/5a, 6a, 7a; cf. his The Four Generals, 24-26.

²⁷ Wolff, *Glossar*, 175, 570 s.v.

²⁸ Ed. Mohl, vol. VII, p. 549b s.v. *Biwerd*, 729a s.v. *Scheknan*.

²⁹ Ferdinand Justi, *Iranisches Namenbuch* (Marburg: N.G. Elwertsche Vbh, 1895), 69 s.v. *Bīwerd*; 279 s.v. *Šaknān*.

³⁰ At least in Philippe Gignoux, *Noms propres sassanides en moyen-perse épigraphique*, IPNB II.2 (Wien: VÖAW, 1986) as well as his *Supplément [1986-2001]* to the former work (IPNB II.3, Wien: VÖAW, 2003).

³¹ On this respect see Mehrdad Ghodrat-Dizaji, "Ādurbādagān during the Late Sasanian Period: a Study in Administrative Geography", *Iran* 48 (2010) 69-80.

³² Parth. <'l'nn TROA> Alānān bar, MPers. <'l'n'n BBA> Alānān dar, Gr. πύλαι Άλανῶν, Arm. drownk' Alanac', NPers. در الآن Dar-i Alān, Georg. Dariala, Arab. باب اللان Bāb al-Lān "the Gate(s) of the Alans", sometimes misnamed as Gr. Πύλη Κασπία, Κάσπιαι πύλαι "the Caspian Gate(s)" in Byzantine sources. ³³ MPers. <BBAbnd> / NPers. دربند Darband "Closed Gate", Gr. Τζούρ, Τζόν, Arm. Čoray / Čołay / Honac ' pahak "the stronghold of Čor / Čoł / the Huns", Arab. باب *Bāb Ṣūl*, but later commonly known as باب الإبواب Bāb al-Abwāb "the Gate of Gates" or just as al-Bāb "the Gate".

³⁴ Flav. Ios. *Ant. Iud.* XVIII.97 tells that in AD 35 the king of Iberia and the king of Albania "opened the Caspian Gates" (τὰς θύρας τὰς Κασπίας ἀνοίξαντες) and brought in the Alans against the Parthian king Artabanus; cf. Tac. *Ann.* VI. 33 *Hiberi locorum potentes Caspia via Sarmatam in Armenios raptim eff*<*und*>*unt.* For the Armenian verb see Agat'angelos & Elišê in our notes 37-38 (*banal / bac'in zdrowns Alanac'*); see also *K'art'lis C'xovreba* ed. S. Qauxc'išvili, p. 66 = Thomson, *Rewriting Caucasian History*, 78, where the "Khazars" try to capture Daruband "in order to open the broad pass" (*rat'amc'a ... gamaģes kari p'art'o*) and invade Persia under Mirian (AD 284-361).

³⁵ Philip Huyse, *Die dreisprachige Inschrift Šābuhrs I. an der Ka'ba-i Zardušt (ŠKZ)* I-II, CII III.1 (London: SOAS, 1999), Bd. 1, p. 22-23: MPers. <OD pl'c OL *kpy *kwpy W-'l'n'n BBA> *tā frāz ō Kaf kōf ud Alānān dar*; Parth. <HN prhš OL kpy ΘWRA W 'l'nn TROA> yad fraxš ō Kaf kōf ud Alānān bar; Gr. [ἕως ἕμπ]ρ[οσθεν] Καπ ὄρους καὶ πυλῶν [Ἀλα]νῶν.

³⁶ KKZ 12 <OD pl'c OL 'l'n'n BBA> *tā frāz ō Alānān dar*; cf. Michael Back, *Die sassanidischen Staatsinschriften*, AcIr (Leiden: Brill, 1978), 426.

³⁷ Agat'angelos 19 (ed. G. Têr Mkrtc'ean–St. Kanayeanc', p. 16) *ew banal zdrowns Alanac' ew zČoray pahakin, hanel zzôrs Honac', aspatak dnel i kolmans Parsic'*; cf. Robert W. Thomson, *Agathangelos. History of the Armenians* (Albany: State University of New York Press, 1976), 36-37.

³⁸ Ełiśê (ed. E. Têr Minasean, p. 198) bac 'in zdrowns Alanac ' ew hanin gownd bazowm i Honac ', ew krowec 'an tari mi ənd Alowanic ' ark 'ayi.

³⁹ Proc. VIII.3.4 ed. H.B. Dewing (London–Cambridge, Mass.: William Heinemann– Harvard Univ. Press, 1962), p. 74 ἐς τὰς διεξόδους ἐξικνοῦνται αὐτὰς αῖ τὰ τῆδε ὡκημένα Οὐννικὰ ἔθνη ἐς γῆν τήν τε Περσῶν καὶ Ῥωμαίων ἄγουσιν. ὦνπερ ἀτέρα μὲν Τζοὺρ ἐπικέκληται, ἡ δὲ δὴ ἑτέρα Πύλη ἐκ παλαιοῦ Κασπία ἐκλήθη.

⁴⁰ Men. Prot. fr. 6.1 ed. Roger C. Blockley (Cambridge: Francis Cairns, 1983), 70-1 ὡς διὰ τῆς στενοπορίας τῆς εἰσόδου τοῦ λεγομένου χώρου Τζὸν καὶ τῶν Κασπίων πυλῶν μὴ ἐφεῖναι Πέρσας ἢ Οὕννους ἢ Ἀλανοὺς ἢ ἑτέρους βαρβάρους πάροδον ποιεῖσθαι κατὰ τῆς Ῥωμαίων ἐπικρατείας, μήτε δὲ Ῥωμαίους ἐν αὐτῷ δήπου τῷ χώρῷ μήτε μὴν ἐν ἄλλοις Μηδικοῖς ὁρίοις στράτευμα στέλλειν κατὰ Περσῶν.

⁴¹ P.Oxy. 3637 (AD 623); P.Oxy. 3797 (AD 624); BGU 2 377; see Dieter Weber, "Ein bisher unbekannter Titel aus spätsassanidischer Zeit", in *Corolla Iranica. Papers in honour of Prof. Dr. David Neil MacKenzie on the occasion of his 65th birthday*, ed. Ronald E. Emmerick and Dieter Weber (Frankfurt am Main: Peter Lang, 1991), 228-35.

⁴² Dieter Weber, *Berliner Papyri, Pergamente und Leinenfragmente in mittelpersischer Sprache*, CII III.4 (London: SOAS, 2003), 7-11, 31-2, 59-61, 63-4, 112 (P. 136, 145, 172, 174, 256).

⁴³ Movsês Xorenaci III.34 (ed. M. Abełean–S. Yarowt'iwnean, p. 298-9) *zAlanaozan omn pahlavik ... or êr azgakic ' Aršakay* "a certain Alanaozan Pahlavik ... who was a relative of Aršak"; P'awstos Bowzandac'i IV.38 (ed. K'. Patkanean, p. 129) *Alanayozann, or Pahlawn êr*; see Robert W. Thomson, *Moses Khorenats 'i. History of the Armenians* (Ann Arbor: Caravan Books, 2006²), 287; Nina Garsoïan, *The Epic Histories (Buzandaran Patmut 'iwnk')* (Cambridge, Mass.: Harvard University Press), 163, 344-5.

⁴⁴ Weber, "Ein bisher unbekannter Titel", 234.

⁴⁵ Weber, *Berliner Papyri*, 60 (P. 172) *kn'lng Y'LH-š'n PRŠY'n* /kanārang ī awēšān asbārān/ "der *kanārang* jener Ritter"; cf. Walter B. Henning, "The Bactrian Inscription", *BSOAS* 23/1 (1960) 47-55 (see p. 50-51); Vladimir G. Lukonin, "Political, Social and Administrative Institutions: Taxes and Trade", *CHI* 3.2 (Cambridge: University Press, 1983), 731; Gignoux, *Noms propres sassanides*, 104, § 488.

⁴⁶ Judith A. Lerner, "An Alan Seal", *BAI* 19 (2005[2009]), 83-89. Skjaervø's reading was taken from Christie's New York catalogue, where the seal was sold on 18th December 1998 (sale 9020, lot 231, p. 106-7).

⁴⁷ Ptol. V.9.16, ed. Karl Friedrich August Nobbe (Lipsiae: Caroli Tauchnitii, 1845), vol. II, p. 41.

⁴⁸ Philippe Gignoux, *Glossaire des Inscriptions Pehlevies et Parthes*, CII SS I (London: Lund Humphries, 1972), 15.

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